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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventor: Charles E. Taylor et al.
Appl. No.: 10/074,096
Confirm. No.: 9062
Filed: February 12, 2002
Title: Electro-Kinetic Air Transporter and Conditioner
Device with Enhanced Anti-Microorganism Capability

PATENT APPLICATION

Art Unit: 1753
Examiner: Kishor Mayekar
Customer No. 23910

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8

I hereby certify that this correspondence is being deposited in the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on 4/27/04.

Jeffrey R. Kurin (Attorney Signature)
Jeffrey R. Kurin, Reg. No. 41,132
Signature Date: 4/27/04

FIFTH INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.56

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

It is requested that the information identified in this statement be considered by the Examiner and made of record in the above-identified application. This statement is not intended to represent that a search has been made or that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 CFR §1.56. If this is a continuation, divisional or continuation-in-part application, it is understood that the Examiner will consider all information which was considered by the Office in a parent application. MPEP §609. Such information therefore is not listed herein unless it is desired that the information be printed on a patent issuing from the subject application.

Enclosed with this statement are the following:

- X Form PTO-1449. The Examiner is requested to initial the form and return it to the undersigned in accordance with MPEP §609.
- X The present application was filed prior to June 30, 2003. A copy of each cited document as required by 37 C.F.R. §1.98 is enclosed.

This statement should be considered because:

- X **37 CFR §1.97(b).** This statement qualifies under 37 CFR §1.97, subsection (b) because:
- (1) It is being filed within three months of the filing date of an application other than a continued prosecution application under §1.53(d);
-- OR --
 - (2) It is being filed within 3 months of entry of a national stage;
-- OR --
 - (3) It is being filed before the mailing date of the first Office Action on the merits,
-- OR --
 - (4) It is being filed before the mailing date of the first Office Action after the filing of a Request for Continued Examination under 37 CFR §1.114.

— 37 CFR §1.97(c). Although it may not qualify under subsection (b), this statement qualifies under 37 CFR §1.97, subsection (c) because:

- (1) It is being filed before the mailing date of a FINAL Office Action, a Notice of Allowance, or an action that otherwise closes prosecution in the subject application, whichever occurs first.

-- AND (check at least one of the following) --

- (1) It is accompanied by a STATEMENT as set forth in 37 CFR §1.97(e).

-- OR --

- (2) It is accompanied by the \$180 fee set forth in 37 CFR §1.17(p).

— 37 CFR §1.97(d). Although it may not qualify under subsection (b) or (c), this statement qualifies under 37 CFR §1.97, subsection (d) because:

- (1) It is being filed on or before payment of the issue fee;

-- AND --

- (2) It is accompanied by a STATEMENT as set forth in 37 CFR §1.97(e);

-- AND --

- (3) It is accompanied by the \$180 fee set forth in 37 CFR §1.17(p).

X **Fee Authorization.** The Commissioner is hereby authorized to charge underpayment of any additional fees or credit any overpayment associated with this communication to Deposit Account No. 06-1325.

Respectfully submitted,

Date: 4/27/04

By: Jeffrey R. Kurin
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FORM PTO-1449

(Substitute)

US DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

Attorney Docket Number

SHPR-01028US4

Serial No.

10/074,096

Applicant

Charles E. Taylor et al.

Filing Date

February 12, 2002

Group Art Unit

1753

**INFORMATION DISCLOSURE CITATION
BY APPLICANT**

(Use several sheets if necessary)

U.S. PATENTS

Examiner Initial	Patent Number	Issue Date	Name	Class	Subclass	Filing Date
	1.	3,374,941	3/26/1968	Okress		6/30/1964
	2.	5,248,324	9/28/1993	Hara		2/19/92

U.S. PATENT PUBLICATIONS

Examiner Initial		Patent Application Publication Number	Publication Date	Applicant
	3.	2004/0065202 A1	4/8/2004	Gatchell et al.
	4.	2004/0052700 A1	3/18/2004	Kotlyar et al.

PENDING U.S. PATENT APPLICATIONS

Examiner Initial	Application Number	Filing Date	Inventor	Petition to Expunge	
				Yes	No

FOREIGN PATENT DOCUMENTS

Examiner Initial	Document Number	Publication Date	Country	Translation	
				Yes	No
	5.	CN 2138764 Y	7/21/1993	China	✓
	6.	CN 2153231 Y	1/19/1994	China	✓
	7.	CN 87210843 U	7/6/1988	China	✓

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if references considered, whether or not citation is in conformance with MPEP § 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

*1 = Copy not submitted because it was submitted in prior application ____ / _____, filed _____, 20____, and relied upon under 35 USC §120.

*2 = Copy not submitted because it was submitted in prior application ____ / _____, filed _____, 20____, and relied upon under 35 USC §120.